



GOVERNMENT OF ANDHRA PRADESH

ANDHRA PRDESH URBAN FINANCE AND INFRASTRUCTURE
DEVELOPMENT CORPORATION LIMITED

Request for Proposal

For

Preparation of Social Impact Assessment & Social Management Plan

for Andhra Pradesh Urban Water Supply & Septage Management Improvement Project
(APUWS&SMIP)

27th September 2018

Andhra Pradesh Urban Finance and Infrastructure Development Corporation Limited, ASR&ESR
Plaza, Opp. Vigneshwara nagar, Road No-1, Mahatma Gandhi Inner Ring Road, Reddypalem,
Guntur, PIN-522509.

Disclaimer

The information contained in this Request for Proposal ("RFP") or subsequently provided to Applicant(s), whether verbally or in documentary or any other form, by or on behalf of APUFIDC or any of its employees or advisors, is provided to Applicant(s) on the terms and conditions set out in this RFP and such other terms and conditions subject to which such information is provided.

This RFP is not an agreement and is neither an offer nor an invitation by APUFIDC to the prospective Applicants or any other person. The purpose of this RFP is to provide Applicants/ parties with information that may be useful to them in the formulation of their application for qualification pursuant to this RFP (the "Application"). This RFP includes statements, formats which reflect various assumptions and assessments arrived at by APUFIDC in relation to the Project. Such assumptions, assessments and statements do not purport to contain all the information that each Applicant may require. This RFP may not be appropriate for all persons, and it is not possible for APUFIDC, its employees or advisors to consider the particular needs of each party who reads or uses this RFP.

The assumptions, assessments, statements and information contained in this RFP may not be complete, accurate, adequate or correct. Each Applicant should therefore, conduct its own investigations and analysis and should check the accuracy, adequacy, correctness, reliability and completeness of the assumptions, assessments, statements and information contained in this RFP and obtain independent advice from appropriate sources.

APUFIDC, its employees and advisors make no representation or warranty and shall have no liability to any person, including any Applicant or Bidder, under any law, statute, rules or regulations or tort, principles of restitution or unjust enrichment or otherwise for any loss, damages, cost or expense which may arise from or be incurred or suffered on account of anything contained in this RFP or otherwise, including the accuracy, adequacy, correctness, completeness or reliability of the RFP and any assessment, assumption, statement or information contained therein or deemed to form part of this RFP or arising in any way with qualification of Applicants for participation in the RFP Process.

APUFIDC also accepts no liability of any nature whether resulting from negligence or otherwise howsoever caused arising from reliance of any Applicant upon the statements contained in this RFP. APUFIDC may, in its absolute discretion but without being under any obligation to do so, update, amend or supplement the information, relax the qualifications, assessment or assumptions contained in this RFP. The issue of this RFP does not imply that APUFIDC is bound to select of the Applications for Bid Stage or to appoint the selected Bidder or Concessionaire, as the case may be, for the Project and APUFIDC reserves the right to reject all or any of the Applications without assigning any reasons whatsoever.

The Applicant shall bear all its costs associated with or relating to the preparation and submission of its Application (RFP) including but not limited to preparation, copying, postage, delivery fees, expenses associated with any demonstrations or presentations which may be required by APUFIDC or any other costs incurred in connection with or relating to its Application. All such costs and expenses will remain with the Applicant and APUFIDC shall not be liable in any manner whatsoever for the same or for any other costs or other expenses incurred by an Applicant in preparation or submission of the Application, regardless of the conduct or outcome of the RFP Process.

Request For Proposal (RFP)

GOVERNMENT OF ANDHRA PRADESH

ANDHRA PRADESH URBAN FINANCE & INFRASTRUCTURE DEVELOPMENT CORPORATION LIMITED

REQUEST FOR PROPOSAL (RFP)

Dated:27.09.2018

Reg:- Issue of RFP for providing consulting services for Preparation of SIA,SMP,RAP & TPP for Andhra Pradesh Urban Water Supply & Septage Management Improvement Project (APUWS&SMIP)

Assignment: Engaging a consultancy firm for preparation of Social Impact assessment and Social Management Plan, Resettlement Action Plan and Tribal Peoples Plan if required for Andhra Pradesh Urban Water Supply & Septage Management Improvement Project, APUFIDC in Andhra Pradesh, India. The required Consulting services include preparing an SIA,SMP,RAP & TPP in compliance with the ESMPF,RPF and TPPF prepared for the project and Environmental and Social Policy (ESP) and Environmental Social Standards (ESS) of Asian Infrastructure Investment Bank (AIIB).

The assignment shall be completed as per the Terms of Reference (ToR) presented as Annexure-I of this document and is expected to complete within 2.5 months from the date of award of the project. The scope of the work, study outputs, timelines, Payment schedule etc. are described in detail in the ToR. The Consultant will be selected under Quality and Cost Based Selection (QCBS) described in Data Sheet of Annex-II of this RFP.

The eligible Firms/Organizations should submit the proposals along with the desired information demonstrating that they have the required qualifications and relevant experience to perform the services. All the Firms/Organizations should specify and certify project specific experience such as number of projects and category of projects like SIA&SMP,RAP along with work completion certificates which is mandatory for technical evaluation process. The Signed Request for Proposal (RFP) must include Organization Details, Details of Similar Assignments handled shall be filled as per the form -3 of technical bid in Annexure IV of this document with all other requested documents such as Work Completion Certificates, a short note demonstrating the suitability of the organization for this assignment, Financial Information, etc.

The Consulting Firms will be requested to submit their proposals as per the Request for Proposals (RFP) document issued by APUFIDC. Further information can be obtained at the official website: www.apufidc.cgg.gov.in and at the address below during office hours, i.e., 10.00 to 17.30 hours (Monday to Friday) (Email:apufidc@yahoo.com, Ph.No:+91-76758 36600).

The Request for Proposal (RFP) must be delivered in sealed cover on or before 12.10.2018, 13.30 hours at office of the Managing Director, Andhra Pradesh Urban Finance Infra Structure Development Corporation Limited, ASR & ESR Plaza, Opp. Vigneshwara Nagar, Road No. 1, Mahatma Gandhi Inner Ring Road, Reddypalem, Guntur, Pin Code: 522 509 Andhra Pradesh.

Terms of Reference (ToR)

Annexure-I

Terms of Reference

Background

The Andhra Pradesh Urban Water Supply Improvement Project has been launched by the Government of Andhra Pradesh (GoAP) to improve service standards in water supply to Urban Local Bodies (ULBs). This Project will be implemented by the Andhra Pradesh Urban Finance and Infrastructure Development Corporation (APUFIDC), Government of Andhra Pradesh with the assistance of Asian Infrastructure Investment Bank (AIIB). This project intends to serve 50 ULBs, which have a population of less than 1 lakh, which presently face both quantity and quality problems. This project is expected to benefit and improve the living standards of about 40 lakh population by providing potable water at 135 lpcd. The Project will finance activities including development/ augmentation of water supplies including surface sources and 100% House Service Connections (HSC). The project would consist of components such as Summer Storage Tanks, Intake Wells, Pumping Stations, Pressure/ Gravity Raw Water Transmission Mains, Water treatment plants, Ground Level Balancing Reservoirs, Pressure/ Gravity Treated Water Transmission Mains, Elevated/ Ground Level Service Reservoirs, Distribution Systems, House Service Connections, etc.

Social Risks and Impacts. The social risks and impacts of these water supply works are expected to be substantial in scope, unconfined in nature and likely to have cumulative impacts. Some activities under this project may require land acquisition for facilities such as summer storage reservoirs, intake wells, treatment plants, pumping stations, storage reservoirs, etc. There may also be situations causing temporary/ permanent dislocation of encroachers and squatters and vendors along the transmission and distribution lines. In addition, there is a possibility of impacts on sites of cultural or religious significance such as temples, which may need to be avoided or relocated. The Project is anticipated to include activities in areas where there are Tribal Peoples (Scheduled Tribes).

Social Safeguards

As required by the AIIB's Environmental Social Policy (ESP) for Category 'A' projects, an Environmental and Social Management Framework (ESMF) has been developed for the entire project comprising of 50 ULBs. The ESMF has a generic Environmental and Social Management Plan (ESMP). The ESMF has provided guidance on preparation of Environmental and Social Impact Assessments (ESIA) for the ULBs and develop location specific ESMPs. All environmental and social risks and their mitigation measures will be mentioned in the ESMF. To address issues of land acquisition, physical and economic displacements, either of temporary or permanent nature, guidance will be provided through a Resettlement Policy Framework (RPF). Conducting Social Impact Assessment and preparation of Social Management Plan will be supported for addressing social adverse impacts and resettlement and rehabilitation issues.

Objective

Objective of the Assignment

The objective of the assignment is to carry out a social impact assessment for 21 ULB's in phase-1, (listed in the Annexure-V) to identify potential social risks and impacts and prepare the

required Social Management Plan (Resettlement Action Plan or and Tribal Peoples Plan if required).

The consultant will coordinate with DPR consultants as appropriate. The AIIB's Environmental Social Policy (ESP) and Environmental and Social Standards (ESS), Environmental Social Assessment & Management (ESS1), Involuntary Resettlement (ESS2) and Indigenous Population (ESS 3) and project specific ESMPF should be referred while preparing the deliverables for this assignment and the outputs should be consistent with the policy provisions of these documents.

Scope of Services, Task and Expected Deliverables

In fulfilment of the above requirements, APUFIDC needs to hire professional agency/agencies to carry out SIA and prepare SMP for each ULB under APUWSSMIP for realistically assess, plan and implement measures to mitigate the adverse social impacts associated with this water supply project. In the preparatory stage, this will involve:

- (a) Carrying out Social Impact Assessment (SIA), and
- (b) Based on the findings of SIA, in accordance to the Environmental Social Standards (ESS) of AIIB, the Resettlement Policy Framework (RPF) prepared will apply to this project and accordingly, if needed RAPs (Resettlement Action Plans) will be prepared.

Scope of Work;

Task 1: Social Impact Assessment (SIA)

SIA will include but not limited to-

- a) Consultations and discussion with all stakeholders relevant for the project to consider their views and concerns on social impacts and risks; Prepare a schematic map indicating the water source, alignment of the water pipeline to the ULB. Also prepare a schematic map showing various water supply facilities, vis-à-vis settlements
- b) Identification of all affected families, assets and Common Property Resources
- c) Census survey of all affected families in all sub-project component areas/villages concerning urban water supply project; recording their assets (land, structures and facilities), incomes, and social category (Scheduled Castes/Scheduled Tribes);
- d) Conduct due diligence of all land that will be secured for the purpose of the project and ensure that the process of land transfer has been conducted adequately (as per the provisions of the Resettlement Policy Framework)
- e) Base line socio-economic survey of affected families suffering major impacts and needing rehabilitation assistance in addition to compensation to their losses.
- f) Identify any instance of permanent or temporary, physical displacement of people; identify any instance of permanent or temporary, loss of livelihood of people. In case of such adverse impacts, RAPs (Resettlement Action Plans) or Livelihood Restoration Action Plans to be prepared
- g) Identify, if there are tribal populations in the area and whether they are impacted in any way. If impacted, Tribal Peoples Planning Framework needs to be followed for methods of engagement and mitigation of impacts

- h) Record and analyze people's perception of the project, its adverse impacts, and minimum acceptable mitigation measures (relocation options, assistance offered) that will enable them to cope with displacement or loss of livelihoods.
- i) Analysis of existing local level Grievance Redress Mechanism (GRM) and based on the findings, recommended an appropriate GRM for the project.

Proposed Methodology: The following methodology may be adopted.

- (a) The SIA will be carried out under APUWSSMIP for each ULB listed in the Annexure-5.
- (b) Review of data made available by DPR consultant, land availability, survey of other primary data sources and secondary research findings will be made available. A thorough due diligence of this data/ information will be carried out
- (c) Foot survey along the alignment of the water pipeline to the ULB to be carried out to measure social impact. Transect walk inside the ULB to be carried out
- (c) Community stakeholder consultations at all locations where project facilities will be set up; documentation of such consultations; which shall also include list of people attended & the questions raised and clarifications given.
- (d) Focus group discussions with key affected PAP categories duly listing people attended, questions raised and clarifications given.; this must include woman focused group consultations as needed.
- (e) The Consultant should seek feedback during consultations on how to engage Citizens during the implementation of the project and accordingly propose possible ways of engaging the Citizens during the implementation and operation of the project.
- (f) Census and socio-economic survey among the project affected households.
- (g) The information gathered shall be computerized; and Photography/Videography should be used to document existing structures and land holding and other impacts.

Task 2: Resettlement Action Plan and Tribal People Plan, if required

Based on the findings of SIA/ field survey a Resettlement Action Plan, if required should be developed. The consultant should coordinate with DPR consultants, PHMED Engineers and ULB officials while finalizing the Resettlement Action Plan (RAP). The RAP should be prepared in line with Resettlement Policy Framework (RPF) & Tribal People Planning Framework (TPPF) listing R&R measures for different PAP categories including relocation site plans, analysis of socio-economic data, livelihood restoration measures, post-location support strategy, institutional R&R implementation, monitoring, grievance redress; strategies for capacity building, consultation, documentation, information dissemination, and disclosure; time frame for completing various land acquisition and R&R activities; and budget and costs. The RAP should outline support strategy during various stages of the implementation period and incorporate elements as per guidelines developed as part of RPF. The TPP should be prepared to address specific impacts on the tribal communities, if any affected. Separate consultations with women as needed should be organized and documented. The draft plans should be presented to seek suggestions or objections on SMP, RAP & TPP. The minutes and participants list should be documented.

Outcomes: The following are the key outcomes expected from the consultants:

- (a) Inception Report outlining the approach, methodology, time frame for surveys, consultations and different output should be submitted within one week of the assignment;
- (b) Social Impact Assessment & SMP for each ULB and RAP if required covering the above scope of work. The draft reports are expected at the end of 8 weeks; the final report will be prepared within 2 weeks of receipt of the comments
- (c) The Tribal People Plan. If applicable draft Tribal People Plan will be prepared by the end of 8 weeks of the assignment
- (d) Report of public consultations including documentation i.e; hard copy and video graph of public consultations
- (e) Consultant will have to submit five copies of each report along with soft copies after final approval.

The entire consultancy is expected to be completed by the end of 10 weeks.

Project Deliverables and payment schedule:

S. No.	Deliverables	Schedule of deliverable	Percentage of payment
1.	On submission and acceptance of Inception Report	One week after the agreement	20%
3.	On Submission and approval of Draft Reports including public consultations	Within 8 weeks of the agreement	40%
4.	On Submission of Final Reports	Within 2 weeks after the receipt comments on Draft report	30%
5.	Approval of AIIB	Incorporation of AIIB 's final comments if any	10%
	Total		100%

Team composition & Qualification Requirement for the Key Experts

The proposals are invited from the professional agencies having experience in preparation of SIA,SMP,RAP and TPP. The consultant team should have following specialists on the team with appropriate support staff for socio-economic survey and community consultations:

Consultant Study Team & Key Personnel:

The consultant should comprise the following Key experts (specialists):-

Sr. No.	Key Personnel	Educational Qualifications	Specific Experience	Total Experience in Years
i.	Social development Specialist cum Team Leader	Post-graduation in one of the social sciences	10 Years	15 Years

ii.	R&R specialist	-do-	5 Years	10 Years
iii.	Stakeholder Consultation Expert	-do-	5 Years	10 Years
iv.	Support staff	Graduation in Arts		2 Years

The Team Leader and Resettlement Expert should have demonstrated experience in land acquisition, resettlement and community development aspects including/preferably Externally Aided Water Supply Projects.

Project duration – 10 weeks from date of awarding of contract

INSTRUCTIONS TO THE CONSULTING FIRM/ORGANIZATION

Annexure-II

Instructions to the Consulting Firm/Organization

2.1. The Client named in the Data Sheet will select a consulting firm/organization from those who have furnished their proposals; in accordance with the selection criteria specified in Data Sheet.

2.2. The name of the assignment/Job has been mentioned in Data Sheet. Detailed scope of the assignment/ job has been described in the Terms of Reference in Annexure-I.

2.3. The date, time and address for submission of the proposals have been given in Data Sheet.

2.4. Consultants are invited to submit their Proposal, for consulting Assignment/job named in the Data Sheet. The Proposal will be the basis for contract negotiations and ultimately for a signed Contract with the selected Consultant.

2.5. Consultants are encouraged to familiarize themselves with local conditions and take them into account in preparing their Proposal. To obtain first-hand information on the Assignment/job and local conditions, consultants are encouraged to meet the client's representative named in Data Sheet before submitting a proposal for any clarifications. Consultants are encouraged to contact the respective line departments of the state government for necessary site visits, additional information prior to pre-proposal meeting/proposal submission. For visits, consultants are requested to contact the respective line department well in advance in order to make the visits fruitful.

2.6. The Client will provide at no cost to the Consultants the inputs and facilities required in this assignment. Assist the consultants in obtaining secondary information needed to carry out the Assignment/job, and make available relevant project data and reports.

2.7. Consultants shall bear all costs associated with the preparation and submission of their proposals and contract negotiation. The Client is not bound to accept any proposal, and reserves the right of the selection process at any time prior to Contract award, without there by incurring any liability to the Consultants.

2.8. Proposal Validity

The validity of the Proposal is 90 days after the proposal submission date. During this period, Consultants shall maintain the availability of Professional staff nominated in the Proposal and also the financial proposal unchanged. The Client will make its best effort to complete negotiations within this period.

2.9 Submission of proposal

The Proposal as well as all related correspondence exchanged by the Consultants and the Client shall be written in English language, unless specified otherwise.

In preparing their Proposal, Consultants are expected to examine in detail the RfP document. Material deficiencies in providing the information requested may result in rejection of a Proposal.

2.10 Technical Proposal:

While preparing the Technical Proposal, Consultants must give particular attention to the following:

- (a) The Proposal shall be based on the number of Professional staff-months or budget estimated by the Consultants.
- (b) Alternative professional staff shall not be proposed, and only one curriculum-vita (CV) may be submitted for each position mentioned.

Depending on the nature of the Assignment/job, Consultants are required to submit a Technical Proposal. The consultant will provide details of experience of assignments which are similar to the proposed assignment/job as per the terms of reference. Consultants have to provide "Work Completion Certificates" for the completed assignment which is mandatory for evaluation. They have to justify the number and nature of the assignments as and when it is required.

A description of the approach, methodology and work plan for performing the Assignment/job covering the following subjects: technical approach and methodology, work plan, and organization and staffing schedule.

The list of the proposed Professional staff team by area of expertise and their CVs, the position that would be assigned to each staff team member and their tasks is to be provided.

2.11 Financial Proposal:

The Financial Proposal shall list all costs associated with the Assignment/job, including (a) remuneration for staff and (b) reimbursable expenses etc. The Consultant shall fully familiarize themselves about the applicable taxes.

An EMD should be submitted along with the financial proposal.

EMD Details: Rs:50,000/-(Fifty thousand rupees only) in the form of D.D in favour of "Managing Director, APUFIDC", Payable at Guntur. The EMD shall be returned to the unselected bidders after selection of the successful bidder. The EMD amount of the selected bidder will be returned after completion of the work.

2.12. Submission, Receipt, and Opening of Proposal

1. The original proposal which shall comprise the requisite EMD, technical and Financial Proposals shall contain no interlineations or overwriting, except as necessary to correct errors made by the Consultants themselves. The person who signed the proposal must initial such corrections.

2. An authorized representative of the Consultants shall initial all pages of the original Technical and Financial Proposals. The authorization shall be in the form of a written power of attorney accompanying the Proposal or in any other form demonstrating that the representative has been duly authorized to sign.

3. The Technical Proposal containing all credentials shall be placed in a sealed envelope clearly marked "TECHNICAL PROPOSAL" Similarly, Financial Proposal shall be placed in a sealed envelope clearly marked "FINANCIAL PROPOSAL" followed by the name of the Assignment/job. The envelopes containing the Technical Proposals, Financial Proposals and EMD shall be placed into an outer envelope and sealed. This outer envelope shall bear the submission address, reference number be clearly marked "DO NOT OPEN, BEFORE OPENING DATE. The Client shall not be responsible for misplacement, losing of documents or premature opening if the outer envelope is not sealed and/or marked as stipulated. This circumstance may be case for Proposals rejection.

Cover "A" – Technical Proposal

Cover "B" – Financial Proposal

Cover "C" – EMD

Cover "D" - Contains Covers – "A" , "B" & "C".

If the Financial Proposal is not submitted in a separate sealed envelope duly marked as indicated above, will constitute grounds for declaring the Proposal non responsive.

The Proposals must be sent to the address/addresses indicated in the Data sheet and received by the Client no later than the time and the date indicated in the Data sheet, or any extension to this date. Any proposal received by the Client after the deadline for submission shall be rejected and unopened.

Technical Presentations: Technical presentations by the bidders after Bid opening.

Number of Proposals: No Applicant shall submit more than one proposal.

2.13. Proposal Evaluation

From the time of the Proposal are opened to the time the Contract is awarded, the Consultants should not contact the Client on any matter related to its Technical and/or Financial Proposals. Any effort by Consultants to influence the Client in the examination, evaluation, ranking of Proposals, and recommendation for award of Contract may result in the rejection of the Consultant's Proposal.

1. A selection committee appointed for this purpose will carry out the entire evaluation process.
2. Evaluation of Technical Proposals: Selection committee while evaluating the Technical Proposals shall have no access to the Financial Proposals until the technical evaluation is concluded.
3. Selection committee shall evaluate the Technical Proposals on the basis of their responsiveness to the Terms of Reference and by applying the evaluation criteria specified in the Data sheet. In the stage of evaluation, a Proposal shall be rejected if it is found deficient as per the requirement indicated in the Data sheet for responsiveness of the proposal. Only responsive proposals shall be further taken up for evaluation. Evaluation of the technical proposal will start first and at this stage the financial bid (proposal) will remain unopened.
4. Evaluation of the Financial Proposals: Financial proposals of only those firms who are technically qualified shall be opened publicly on the date & time specified the Data sheet, in the presence of the Consultants' representatives who choose to attend". The name of the Consultants, their technical score (if required) and their financial proposal shall be read aloud.
5. The Selection committee will correct any computational errors. When correcting computational errors, in case of discrepancy between a partial amount and the total amount, or between word and figures, the former will prevail.
6. After opening of financial proposals, appropriate selection method shall be applied to determine the consultant who will be declared winner and be eligible for award of the contract. The method of

selections is described in the Data Sheet. This selected consultant will then be invited for negotiations and entering into an agreement.

7. The Client has the final authority for taking decision about the RfP in all the aspects including postponement, relaxation of qualifications, cancellation etc.

DATA SHEET

S.No	Particulars
1	Name of the Client: Andhra Pradesh Urban Finance Infrastructure Development Corporation.
2	Name of Assignment: Preparation of Social Impact Assessment and Social Management Plan for Andhra Pradesh Urban Water Supply & Septage Management Improvement Project.
3	Date of Availability of RfP Documents: From 29.09.2018 to 12.10.2018
4	Last Date & time for submission of proposal/bid: 12.10.2018, 1.30pm.
5	Technical Presentations : 12.10.2018 @3.00pm
6	Date of Opening of the Bids: 12.10.2018@ 5pm onwards
7	Address for Submission of RFP: The completed proposal shall be submitted in hard copies. Managing Director, Andhra Pradesh Urban Finance Infrastructure Development Corporation Limited, ASR & ESR Plaza, Opp. Vigneshwara Nagar, Road No. 1, Mahatma Gandhi Inner Ring Road, Reddypalem, Guntur, Pin Code: 522 509 Andhra Pradesh.
8	The Client's representative : M.Ganesh Babu, Procurement Specialist Email Id: apufidc@yahoo.com, Mob.No.76758 36600
9	Validity: Proposal must remain valid 90 days after the submission date
10	Eligibility: Experience in preparing SIA,SMP,RAP & TDP/TPP documents preferably external aided water supply projects as described in Terms of Reference. You are requested attach work completion certificates for all the assignments.
11	Project Duration: 2.5 Months from the date of issue of LoA.
12	The minimum number of professionals required for the Assignment/job is mentioned in Terms of Reference.
13	EMD details: Rs.50,000/- (Fifty Thousand only) in the form of DD in the favour of Managing Director, APUFIDC payable at Guntur.
14	Consultant to state the cost in Indian Rupees:
15	Consultant must submit the proposals as specified in section 2.12 of Annex-II.
16	Evaluation Criteria: Criteria, sub-criteria, for evaluation of Technical Proposals have been prescribed evaluation in section 2.13 of Annex-II.
17	Procedure for evaluation of Technical Proposals in QCBS
	<p>Adequacy of the proposed methodology and work plan in responding to the Terms of Reference:</p> <p>The methodology and work plan will be evaluated under the following two sub criteria:</p> <p>a) Work plan b) Organization and staffing</p> <p>A qualifying proposal should qualify under both the sub-criteria mentioned above.</p> <p>(ii) Key professional staff qualifications and competence for the assignment/job:</p> <p>The qualification & experience of key professionals including the team leader will be evaluated for the following sub-criteria:</p> <p>1) General qualifications (Education, expertise area etc.) 2) Adequacy for the Assignment/job (experience, positions held etc.) 3) Experience in region and language (specific local area experience and language proficiency)</p> <p>(iii) In addition to the parameters prescribed in pre evaluation.</p>
	<p>Method of Selection: QCBS only. The minimum technical score required to pass is: 75 points (Seventy five points). Only those bidders whose technical bids get a score of 75 (seventy five) points out of 100 (hundred) shall qualify for opening of financial bid for further consideration. The lowest evaluated Financial Proposal (Fm) will be given the maximum financial score (Sf) out of 100.</p> <p>The formula for determining the Technical (St) of all other Proposals is calculated as following: $St = 100 \times T/Tm$ in which "St" is the technical score, "Tm" is the highest marks, and "T" is the </p>

	<p>marks of the proposal under consideration.</p> <p>The formula for determining the financial scores (Sf) of all other Proposals is calculated as following: $Sf = 100 \times Fm/F$, in which "Sf" is the financial score, "Fm" is the lowest price, and "F" the price of the proposal under consideration.</p> <p>The weightages given to the Technical (T) and Financial (P) Proposals are in the ratio 80:20. Proposals are ranked according to their combined technical (St) and financial (Sf) scores using the weightages. The Net Score (S) = $St \times T\% + Sf \times P\%$.</p>
18	Expected date for commencement of consulting Assignment/job: Immediately from the day of signing of contract agreement.

Technical Evaluation

Total points allocated for Technical Evaluation: 100

The Criteria, sub-criteria and point system for detailed evaluation shall be as follows:

I	Specific experience of the consultant firm relevant to the assignment/ job	Max. points
	Sub-criteria	
	Preparing SIA,SMP&RAP Documents (Minimum 6 projects)	30 points
II	Specific experience of the Core Team members relevant to the assignment/ job	Max. Points
	Sub-criteria	
	a. Social development Specialist cum Team Leader	30
	Educational Qualifications	10
	Number of years of Experience (Pl. Refer ToR)	10
	Preparing/Conducting EIA/SIA Projects	10
	b. R&R specialist (with Tribal People experience)	20
	Educational Qualifications	5
	Number of years of Experience (Pl. Refer ToR)	5
	Preparing/Conducting EIA/SIA Projects	10
	c. Stakeholder Consultation Expert	10
	Educational Qualifications	2
	Number of years of Experience (Pl. Refer ToR)	3
	No of projects involved	5
III	Evaluation of the Technical Proposal and Presentation by the Team Leader (Proposed methodology and work plan in response to the Terms of Reference)	Max. points
	Sub-criteria	10
	Understanding of the Assignment	2
	Approach, Methodology & Work Plan	3
	Presentation by the Core Team member	5

Other Terms and Conditions

Annexure-III

1. APPLICANTS ELIGIBILITY AND SUBMISSION

a) The Applicant shall be a single entity and may be a private entity, government-owned entity or any combination of them with a formal intent to enter into an agreement.

b) Any entity which has been barred by the Central/ State Government of Andhra Pradesh, or any other Government agency from participating in any project, and the bar subsists as on the date of Application, would not be eligible to submit an Application.

2. SCHEDULE OF RFP PROCESS and EMD DETAILS:

APUFIDC shall endeavor to adhere to the following RFP schedule:

S.No	Event Description	Date
1	Last date for receiving RFP	12.10.2018.
2	EMD details	EMD details: Rs.50,000/- (Fifty Thousand only) in the form of DD in the favour of "Managing Director, APUFIDC" payable at Guntur.
3	Technical Presentations	12.10.2018 @ 3.00pm
4	Applications/Proposals Opening :	The date, time and venue of the Application/ Proposal Opening: Date: 12.10.2018 Time: 5.00 pm onwards Venue: Conference Hall, APUFIDC, ASR & ESR Plaza, Mahatma Gandhi Inner Ring Road, Reddypalem, Guntur, PIN-522509.

Annexure-IV

Formats required for RFP

TECHNICAL BID

Form-1

Letter of Bid

(On Bidder's letter head)

(Date and Reference)

To,

Managing Director,
Andhra Pradesh Urban Finance Infra Structure Development Corporation Limited,
ASR & ESR Plaza,
Mahatma Gandhi Inner Ring Road, Reddypalem,
Guntur, Pin Code: 522 509.
Andhra Pradesh.

Sub: Technical Bid for Engaging a consultancy firm for preparation of Social Impact Assessment & Social Management Plan for Andhra Pradesh Urban Water Supply and Septage Management Improvement Project.

Dear Sir,

We, the undersigned, offer to provide the consulting Assignment/job for [Insert title of Assignment/job] in accordance with your Request for Proposal dated [Insert Date]. We are here by submitting our Proposal, which includes this Technical Proposal and a Financial Proposal and EMD sealed under a separate envelope.

We hereby declare that all the information and statements made in this Proposal are true and accept that any misinterpretation contained in it may lead to our disqualification. We understand you are not bound to accept any Proposal you receive.

We remain,
Yours sincerely,
Authorized Signatory [In full and initials]:
Name and Title of Signatory:
Name of Firm: Address:

Form-2

CONSULTANT'S ORGANIZATIONAL DETAILS

A-Consultant's Organization

[Provide here a brief description of the background and organization of your firm/entity and each associate for this Assignment/job. The brief description should include ownership details, date and place of incorporation of the firm, objectives of the firm etc.]

B -Consultant's Experience

[Using the format below, provide information on each Assignment/job for which your firm, was legally contracted either individually as a corporate entity or as one of the major partners with in an association, for carrying out consulting Assignment/job similar to the ones requested under this Assignment/job (If possible, the client shall specify exact assignment/ job for which experience details may be submitted).

Firms Details:

S.No	Item	Details
1	Assignment/job name:	
2	Description of Project:	
3	Approx. value of the contract (in Rupees):	
4	Country:	
5	Location within country:	
6	Duration of Assignment/job (months) :	
7	Name of Client:	
8	Address of Client:	
9	Total No of staff-months of the Assignment/job:	
10	Approx. value of the Assignment/job provided by your firm under the contract(in Rupees):	
11	Start date (month/year):	
12	Completion date (month/year):	
13	Name of associated Consultants, if any:	
14	No of professional staff-months provided by associated Consultants:	
15	Name of senior professional staff of your firm involved and functions performed.	
16	Description of actual Assignment/job provided by your staff within the Assignment/job:	

Note: It is mandatory to provide signed documentary evidence (contract completion certificate) from the Client for each of above mentioned assignments. The experience shall not be considered for evaluation if such requisite support documents are not provided with the proposal.

Form -3(Mandatory documentary for Evaluation)

<u>Organisational Experience</u>		
<u>S.no</u>	<u>No of similar assignments completed</u>	
	<u>SIA/SMP</u>	<u>SIA/SMP Exernal Aided water supply</u>

Note: The consultants have to certify the number and nature of similar assignments.

<u>Proposed Experts Experience</u>		
<u>S.no</u>	<u>No of similar assignments completed</u>	
	<u>SIA, SMP/RAP</u>	<u>TDP/TPP</u>
<u>Social development Specialist cum Team Leader</u>		
<u>R&R Specialist</u>		
<u>Stakeholder Consultation Expert</u>		

Note: The consultants have to justify the number and nature of similar assignments if required.

(Signature of Authorised Signatory)

Form-4

DESCRIPTION OF APPROACH, METHODOLOGY AND WORK PLAN

[Technical approach, methodology and work plan are key components of the Technical Proposal.

In this section you are requested to explain your understanding of the objectives of the Assignment/job, approach to the Assignment/job, methodology for carrying out the activities and obtaining the expected output. You should highlight the problems being addressed and their importance, and explain the technical approach you would adopt to address them. The proposed work plan should be consistent with the technical approach and methodology, showing understanding of the TOR. You should list the main disciplines of the Assignment/job, the key expert responsible, and proposed technical staff. You are suggested to present your Technical Proposal divided in to the following three sections:

- a) Technical Approach and Methodology,
- b) Work Plan, and
- c) Staffing.

Form 5

Curriculum Vitae (CV) of Key Personnel

1. Proposed Position:
2. Name of Personnel:
3. Date of Birth:
4. Nationality:
5. Educational Qualifications:
6. Employment Record:
(Starting with present position, list in reverse order every employment held.)
7. List of projects on which the Personnel has worked and completed his responsibilities

Name of project	Description of responsibilities
-----------------	---------------------------------
8. Details of the current works/assignment and the time duration for which works/services are required for the current assignment.

Certification:

- 1 I am willing to work on the Project and I will be available for entire duration of the Project assignment as required.
- 2 I, the undersigned, certify that to the best of my knowledge and belief, this CV correctly describes me, my qualifications and my experience.

(Signature and name of the Key Personnel)

Place.....

(Signature and name of the authorised signatory of the Bidder)

Notes:

1. Use separate form for each project position
2. The names and chronology of assignments included here should conform to the project-wise details .

3. Each page of the CV shall be signed in ink and dated by both the Personnel concerned and by the Authorised Representative of the Bidder firm along with the seal of the firm. Photocopies will not be considered for evaluation.
4. In case the personnel applies for the position without confirmation of his availability at the time of assignment, his appointment for the future assignments may not be considered, as per the Government rules.

Form 6

Work Schedule

Sl.No	Activity	Duration in Weeks

Financial Proposal – Standard Forms

Form 6

FINANCIAL PROPOSAL SUBMISSION FORM

[Location, Date]

To,

Managing Director,
Andhra Pradesh Urban Finance Infra Structure Development Corporation Limited,
ASR & ESR Plaza,
Mahatma Gandhi Inner Ring Road, Reddypalem,
Guntur, Pin Code: 522 509.
Andhra Pradesh.

Dear Sir,

We, the undersigned, offer to provide the consulting Assignment/job for [Insert title of Assignment/job] in accordance with your Request for Proposal dated [Insert Date]. Our attached Financial Proposal is for the sum of [Insert amount(s) in words and figures¹]. This amount is inclusive of all applicable taxes. We hereby confirm that the financial proposal is unconditional and we acknowledge that any condition attached to financial proposal shall result in reject of our financial proposal. Our Financial Proposal shall be binding upon us, to expiration of the validity period of the Proposal.

We understand you are not bound to accept any Proposal you receive.

We remain,

Yours sincerely,

Authorized Signature [In full and initials]:

Name and Title of Signatory:

Name of Firm:

Address:

Form-7
Project Cost
(with breakup)

Summary of cost

Sl. no	Particulars	Amount in Rs.
1	Experts Remuneration	
2	Reimbursable expenditure including	
	Consultations expenses	
	Travel expenses	
	Printing and stationary	
3	Applicable taxes	
4	Total cost	

Annexure –V

Phase : List of 21 ULB proposed for the Project

No	PHMED Circle	Name of ULB	Population (2011 Census)
1	Nellore Circle	Addanki	40353
2		Chimakurthy	30332
3		Giddalur	44647
4		Kanigiri	44546
5		Sullurpet	45836
6		Naidupet	45055
7		Nellore Merged Villages	60000
8		Puttur	54092
9		Chittoor	152654
10	Ananthapuramu Circle	Mydukur	45790
11		Yerraguntla	32574
12		Madakasira	21464
13		Gooty	48838
14		Kalyanadurgam	44959
15		Pamidi	26886
16		Puttaparthi	30782
17		Yemmiganur	95149
18		Nandikotkur	46953
19		Atmakur	30556
20		Gudur	60625
21	Allagadda	42404	
		Total	1044495